



St. Thomas College, Bhilai
*Affiliated to Hemchand Yadav Vishwavidyalaya,
Durg, Chhattisgarh*

**Criterion - 6 : Governance, Leadership and
Management**

6.3 : Faculty Empowerment Strategies

***6.3.5 : Institutions Performance Appraisal System for
Teaching and Non-Teaching Staff***



Submitted to National Assessment and Accreditation Council
2016 – 2021

API format for Teaching Staff

ST. THOMAS COLLEGE, BHILAI

Academic Performance Indicators-2010 (4th Amendment, 2016)

PART A: PERSONAL DATA

(To be filled up by the Assistant Professor/Associate Professor)

01	Name (in BLOCK Letters)	:				
02	Father's/ Mother's Name/Husband Name	:				
03	Department	:				
04	Educational Qualification	:				
05	Current Designation and Academic Grade Pay	:				
06	Date of first Joining	:				
07	Which position and AGP (7000, 8000,9000 and 10,000) are you an applicant under CAS?	:				
08	Date of eligibility for promotion	:				
09	Date of Birth	:				
10	Sex	:	Male		Female	
11	Marital status	:				
12	Nationality	:				
13	Indicate whether belongs to SC/ST/OBC category	:				
14	Address for correspondence	:				
15	Permanent Address	:				
	Telephone No./ Mobile No.	:				
	E-mail ID	:				





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16						
	Examinations	Name of the Board/ University	Your of Passing	Division/ Class/ Grade	Subject/s	Rank and Remark if any

17	Research Degree (s) :			
	Degrees	Title	Date of Award	University
	M. Phil.			
	Ph.D./ D.Phil.			
	D.Sc./ D.Litt.			

18	Particulars of NET/JRF/SLET/GATE etc					
	Name of the Test	Name of the test conducting body	Month and year	Roll No.	Subject	Score, where applicable





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19	Service Record							
	Sr. No.	Post/ Designation	Name of Employer	Date of		Pay Scale/ Pay Band & AGP	Reason of leaving	Major Achievement
				Joining	Leaving			

20	Period of Teaching experience	:	
	a) P.G. Classes (in years)	:	
	b)	:	
	c) U.G. Classes (in years)	:	
	d)	:	
21	Research experience excluding years spent in M.Phil / Ph.D (in years)	:	
22	Fields of Specialization under the Subject / Discipline (if any)	:	




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PART B:**CATEGORY I : TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES AND THEIR API SCORE
RESPECTIVELY (for Stage-1, 2 & 3).**

Category	Nature of Activities	Max. Score	Actual Score	API Score Claim	API Score approved by IQAC
I	a. Direct Teaching	70/60/60	Actual hours spent per academic year $\div 7.5 / 7.75 / 7.75$		
	b. Examination duties (question paper setting, Invigilation, evaluation of answer scripts) as per allotment	20/20/10	Actual hours spent per academic year $\div 10 / 10 / 10$		
	c. Innovative Teaching – learning methodologies, updating of subject contents/courses, mentoring etc.	10/15/20	Actual hours spent per academic year $\div 10 / 10 / 10$		

**CATEGORY II : PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION
ACTIVITIES**

Category II	Nature of Activity	Maximum API Score	Actual score	API Score Claim	API Score approved by IQAC
a.	Student related co-curricular, extension and field based activities. (i) Discipline related co-curricular activities (e.g. remedial classes, career counseling, study visit, student seminar and other events.) (ii) Other co-curricular activities (Cultural, Sports, NSS, NCC etc.) (iii) Extension and dissemination activities (public/popular lectures/talks/seminars etc.)	15	Actual hours spent per academic year $\div 10$		
b.	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities. (i) Administrative responsibility (including as Dean/Principal/Chairperson/Convener/Teacher-in-charge/similar other duties that require regular office hours for its discharge) (ii) Participation in Board of Studies, Academic and Administrative Committees	15	Actual hours spent per academic year $\div 10$		
c.	Professional Development activities (such as participation in seminars, conferences, short term training courses, industrial experience, talks, lectures in refresher/faculty development courses, dissemination and general articles and any other contribution)	15	Actual hours spent per academic year $\div 10$		
	Total Score :	45			




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CATEGORY: III RESEARCH, PUBLICATION AND ACADEMIC CONTRIBUTIONS**Category - III Research and Academic Contributions****III (A) : Research Papers (Journals, etc)**

Sr. No.	Title with Page No.	Journal	Year	ISSN/ ISBN No.	Whether peer reviewed impact factor, if any	No. of Co-authors (Excluding you)	Whether you are the main Author	API Score claimed	API Score approved by IQAC
Total API-III A									

III B (i) Articles/Chapters Published in Books

Sr. No.	Title with Page No.	Book Title. editor & publisher	ISSN/ ISBN No.	Whether peer reviewed	No. of Co-authors (Excluding you)	Whether you are the main author	API Score Claimed	API Score approved by IQAC
Total API- III B (i)								

III (C) Research Projects**III(C) (i) Sponsored Project**

Sl.No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. Lakhs)	API Score Claimed	API Score approved by IQAC
Total						




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III(C) (ii) Consultancy Projects

Sl.No.	Title	Agency	Period	Grant/Amount Mobilized (Rs. Lakhs)	Whether Policy Documents/Patent as outcome	API Score Claimed	API Score approved by IQAC
Total							

III(C) (iii) Project outcome outputs

Sl.No.	Title	Major policy document prepared for international bodies like WHO/UNO/UNESCO/ UNICEF etc.	Major policy document prepared for international bodies like Central/State Govt. / Local bodies	Grant/ Amount Mobilized (Rs lakhs)	API Score Claimed	API Score approved by IQAC
Total						

III(D) (i) & (ii) Research Guidance

S.No.	Number Enrolled	Thesis submitted	Degree awarded	API Score claimed	API Score approved
M. Phil. or Equivalent					
Ph. D. or Equivalent					

III(E) (i) Fellowship Awards and invited lectures delivered in conferences / seminars

S.No.	Title & Year			API Score claimed	API Score approved
	International Award	Fellowship from Academic Bodies	Associations		
	Nil				
Total III (E) (i)					




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III (E) (ii) Invited Lectures / Papers

S.No.	Title of the Paper Presented / Invited Lectures	Title of Conference / Seminar	Organized by	Whether International / National / State / Regional / College or University Level	API Score Claimed	API Score approved by IQAC
	Total III (E) (ii)					

The score under this sub-category shall be restricted to 20% of the minimum fixed for Category-III for any assessment period.

III (F) Development of e-learning delivery process/material

S.No.	e-learning delivery process developed	e-learning material developed	API Score Claimed	API Score approved by IQAC
	Total III (F) (ii)			

Note : Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points; (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.




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The parameters listed in table of category-III (Research and Academic contributions) in relation to the total API score claimed by the candidates: -

sub- Category	Total - API Score for Assessment Period	Annual Av. API Score for Assessment Period	API score approved by IQAC
III (A) : Research papers (Journals, etc)			
III (B) : Articles/Chapters Published in Books			
III (C) : Research Projects			
III (D) : Research Guidance			
III(E) : Fellowship Awards and invited lectures delivered in conferences / seminars			
III (F) : Development of e-learning delivery process/material			
Total API Score of Category III			

PART C:

OTHER RELEVANT INFORMATION

Please give details of any other credential, significant contributions, awards received etc not mentioned earlier.

LIST OF ENCLOSURES: (Please attach, copies of certificates, sanction orders, papers etc. wherever necessary)

Declaration by the applicant:

I certify that the information provided is correct as per records available with the Institute documents enclosed along with this duly filled PBAS form.

Signature of faculty.....

Name.....

Designation.....




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Report of Convener of IQAC

All the document submitted by Assistant Professor/ Associate Professor are verified and found authentic. The API Score mentioned above are correct.

.....

Signature of the HOD..... Signature of the Convenor of IQAC :

Name of the Department..... Name of the Department.....

Place: Place:

Date: Date:

N.B.: The individual PBAS Performa for CAS promotion duly filled along with all enclosures, will be duly verified by the IQAC and shall be place before the Screening cum Evaluation Committee or Selection Committee for Promotion.

In case of the HOD / convener IQAC is not satisfied with the reporting by the teacher in the self - appraisals and thus not willing to certify (as mentioned above), then HOD/Convenor IQAC must record below the reasons in writing for not certifying the reported self- appraisal information as mentioned in PART -II (serial no. 23 to serial number 41)

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Signature of the HOD..... Signature of the Convenor of IQAC :

Name of the Department..... Name of the Department.....

Place: Place:

Date : Date :





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RUABANDHA, BHILAI (C.G.)

APPENDIX – III TABLE – II (A)
MINIMUM APIS AS PROVIDED IN APPENDIX – III TABLE – I TO BE APPLIED FOR
THE PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME (CAS)
IN UNIVERSITY DEPARTMENTS AND COLLEGES, AND WEIGHTAGES FOR EXPERT
ASSESSMENT

Category	Activity	Assistant Professor / Equivalent cadres : (Stage-1 to Stage-2)	Assistant Professor / Equivalent cadres : (Stage-2 to Stage-3)	Assistant Professor (Stage-3) to Associate Professor / Equivalent Cadre (Stage-4)	Associate Professor (Stage-4) to Professor / Equivalent cadres (Stage-5)	Professor (Stage-5 to) Professor (Stage-6)
I	Teaching-learning Evaluation Related Activities	80/Year	80/Year	75/Year	70/Year	70/Year
II	Professional Development and Extension activities -Minimum score required to be assessed cumulatively	50/ Assessment Period	50/ Assessment Period	50/ Assessment Period	50/ Assessment Period	100/ Assessment Period
III	Research and Academic Contributions – Minimum Score required – to be assessed cumulatively	20/ Assessment Period	50/ Assessment Period	75/ Assessment Period	100/ Assessment Period	400/ Assessment Period
II+III	Minimum total API score under Categories-II and III*	90/ Assessment Period	120/ Assessment Period	150/ Assessment Period	180/ Assessment Period	600/ Assessment Period
IV	Expert Assessment System	Screening cum evaluation committee	Screening cum evaluation committee	Selection Committee	Selection Committee	Expert Committee
V	Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage = 100. Minimum required for promotion is 50)	No separate points screening committee to verify API scores	No separate points screening committee to verify API scores	30%- Research Contribution 50% - Assessment of domain knowledge & teaching practices. 20%-Interview performance	50% Research Contribution 30%- Assessment of domain knowledge & teaching practices. 20%-Interview performance	50%- Research Contribution 50%- Performance evaluation and other credential by referral procedure

* Teachers may score the balance of points either Category-II or Category-III to achieve the minimum score required under category-II + III.




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RUABANDHA, BHILAI (C.G.)

API Format for Non – Teaching Staff

St. Thomas College, Bhilai सेंट थॉमस महाविद्यालय, भिलाई

Self Appraisal Form
स्व मूल्यांकन प्रपत्र
Non - Teaching Staff
गैर शिक्षण कर्मचारी

Academic Year _____

शैक्षणिक वर्ष _____

Name _____
नाम _____

Department _____
विभाग _____

Rate yourself in a scale of 1 to 5 where 5 is the highest and 1 is the lowest
स्वयं को 1 से 5 के पैमाने में वरीयता दें जहाँ 5 सबसे अधिक एवं 1 सबसे कम है।

Sl No	Parameters क्रमांक मापदंड	Self Appraisal स्व मूल्यांकन	Approved By Head of the Department विभाग प्रमुख द्वारा अनुमोदित
1	Teamwork and Coordination with faculty members सामूहिक कार्य एवं संकाय सदस्यों के साथ समन्वय		
2	Punctuality: To reach college and work delivery समय का पालन एवं कार्य का वितरण		
3	Technically competent in your field स्वयं के क्षेत्र में तकनीकी रूप से सक्षम		
4	Maintenance of Laboratory resources / office Documents प्रयोगशाला संसाधनों/ कार्यालय दस्तावेजों का रखरखाव		
5	Attendance in college महाविद्यालय में उपस्थिति		
6	Cordial interaction with students छात्रों के साथ सौहार्द्रपूर्ण वार्तालाप		

7. Write the number of training / workshop/ orientation program attended.
भाग लेने वाले प्रशिक्षण/ कार्यशाला/ अभिविन्यास कार्यक्रम की संख्या लिखें।
{Attach certificates/ relevant documents}. _____
(प्रमाणपत्र / प्रासंगिक दस्तावेज संलग्न करें)

Head of Department

विभागाध्यक्ष

Principal

प्राचार्य



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RUABANDHA, BHILAI (C.G.)